



The following is a list of documents and/or information required to file an application to obtain status as an approved Surplus Lines Insurer:

1. Written request from a licensed surplus lines broker for the Commissioner to declare the unlicensed insurer eligible.
2. Certified statement from surplus lines broker setting forth the kinds/types of insurance to be written and types of risks to be covered.
3. Designation of individual ultimately responsible for claims handling.
4. Certified copy of the insurer's Annual Statement as of December 31, last preceding, in conventional form.
5. Certified copy of Certificate of Authority, or Certificate of Compliance showing company is licensed in at least one (1) state.
6. Certificate of Deposit (market value of at least \$100,000), showing securities on deposit held for the protection of all policyholders and creditors.
7. Latest Report of Examination, within three (3) years. If older than three (3) years, an explanation must be provided regarding the age of the Report.
8. Completed UCAA Form 11 ( Biographical Affidavits)
9. Completed UCAA Form 12 (Uniform Consent to Service of Process).
10. Designation of individual for receipt of bulletins, regulations and notice of regulatory proceedings (Form D-2).
11. Articles/Certificate of Incorporation, certified to by domiciliary jurisdiction.
12. By-Laws, certified to by Secretary of the company.
13. FEE: \$100.00 initial registration fee.  
Checks made payable to the Delaware Department of Insurance.

References: Title 18, Delaware Insurance Code, Chapter 19

Surplus Lines Bulletin No. 1  
Surplus Lines Bulletin No. 2  
Surplus Lines Bulletin No. 3  
Surplus Lines Bulletin No. 4  
Surplus Lines Bulletin No. 5  
Surplus Lines Bulletin No. 6

Contact: Antoinette Handy  
(302)674-7338  
FAX (302)739-2709  
Toni.handy@state.de.us

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