



SECURITY EXCHANGE REQUEST

§ 1503. Securities eligible for deposit.

(a) All such deposits required under § 513 of this title for authority to transact insurance in this State shall consist of good interest-bearing or dividend-paying securities of kinds eligible for investment of the funds of domestic insurers under Chapter 13 of this title.

Cash, or cash equivalents such as a Certificate of Deposit, government obligations, corporate obligations. Securities should have NAIC designation of "1" or "2", and rated by Standard & Poor's, Moody, etc. as A+ or higher, and nothing below B+.

Reduction in Amount on Deposit: If a company wishes to reduce the amount of securities on deposit, a written request must be sent to Director of Company Regulation explaining the reason for the release.

A BLOOMBERG SCREEN SHOT IS REQUIRED FOR ALL NEW SECURITIES EXCEPT U.S. TREASURY NOTES!

FAX FORM: 302-622-4497 – ATTENTION: Company Licensing

EMAIL FORM: BERG@STATE.DE.US

Date of Request:	
Company Name:	
NAIC #:	
Contact Person:	
Contact Email:	

DELAWARE APPROVED ESCROW ACCOUNT BANK INFORMATION

Bank Name:	
Account Number:	
Bank Contact Person:	
Bank Contact Email:	

MATURING/RELEASING SECURITY INFORMATION

PAR AMOUNT	SECURITY NAME	INTEREST RATE	MATURITY DATE	CUSIP #

NEW SECURITY INFORMATION

PAR AMOUNT	SECURITY NAME	INTEREST RATE	MATURITY DATE	CUSIP #